

LAW OFFICES OF  
**McGINN & GIBB, PLLC**  
A PROFESSIONAL LIMITED LIABILITY COMPANY  
PATENTS, TRADEMARKS, COPYRIGHTS, AND INTELLECTUAL PROPERTY LAW  
8321 OLD COURTHOUSE RD. SUITE 200  
VIENNA, VA 22182  
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FACSIMILE/DATA: (703) 761-2375; 2376  
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JUN 17 2004  
**OFFICIAL**

SEAN M. MCGINN  
PHILLIP E. MILLERY  
FREDERICK E. COOPERRIDER†  
FREDRIC J. ZIMMERMANT  
JAMES E. HOWARD†  
JAMES N. DRESSER  
JOHN J. DRESCH  
SCOTT M. TULINO  
† Other Than Virginia Bar Member

ANNAPOLIS, MD OFFICE  
FREDERICK W. GIBB, III  
MOHAMMAD S. RAHMANT

**SECOND REQUEST**

June 14, 2004

**VIA FACSIMILE**

To: Mai  
Deposit Accounts  
U.S.P.T.O.

Facsimile No.: (703) 872-9306

From: Sean M. McGinn

Facsimile No.: (703) 761-2375

Re: October 31, 2003 Deposit Account Statements  
U.S. Patent Application No.: 09/964,863  
Our Ref.: ORI.045

Dear Mai:

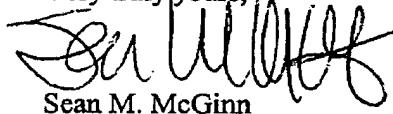
A charge of \$84.00 was erroneously deducted for fee code 1201 (independent claims in excess of three) from our Deposit Account 50-0481 on October 24, 2003.

It is noted that an Excess Claim Fee Payment Letter was filed on September 8, 2003, along with our Check No. 9804 in the amount of \$578.00 for two independent claims (\$168.00) and extension fee (\$410.00). Therefore, it appears that the \$84.00 was charged in error and should be refunded.

For your convenience, enclosed is a copy of the October 31, 2003, Monthly Statement of Deposit Account, Excess Claim Fee Payment Letter with dated stamped post-card, and Check No. 9804 including the back side of Check No. 9804 showing that the USPTO in fact cashed the check.

Thank you in advance for your consideration on this case.

Very truly yours,



Sean M. McGinn

SMM/yr  
Enclosures

KOKUSAI076

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUN 17 2004

In re Application of:

Kazuo Tanaka

Serial No.: 09/964,863

Group Art Unit: 2814

**OFFICIAL**

Filed: September 28, 2001

Examiner: Louie, Wai Sing

For: TEMPERATURE CONTROLLING METHOD, THERMAL TREATING  
APPARATUS, AND METHOD OF MANUFACTURING SEMICONDUCTOR  
DEVICEHonorable Commissioner of Patents  
Alexandria, VA 22313-1450**ATTENTION: FINANCE DIVISION**Sir: **SECOND REQUEST FOR REFUND**


A charge of \$84.00 was erroneously deducted for fee code 1201 (independent claims in excess of three) from our Deposit Account 50-0481 on October 24, 2003.

It is noted that an Excess Claim Fee Payment Letter was filed on September 8, 2003, along with our Check No. 9804 in the amount of \$578.00 for two independent claims (\$168.00) and extension fee (\$410.00). Therefore, it appears that the \$84.00 was charged in error and should be refunded.

Therefore, Applicant respectfully requests that the \$84.00 fee be refunded to Attorney's Deposit Account No. 50-0481. Please credit any overpayment of fees to Attorney's Deposit Account number 50-0481.

Respectfully Submitted,

Date:

6/15/04  
Sean M. McGinn, Esq.  
Reg. No. 34,386

**McGinn & Gibb, PLLC**  
Intellectual Property Law  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182-3817  
(703) 761-4100  
Customer No. 21254



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

MCGINN & GIBB, PC  
SEAN M MCGINN  
8321 OLD COURTHOUSE RD.  
SUITE 200  
VIENNA VA 22182-3817

*PAB*  
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DEC - 4 2003

**McGINN & GIBB, P.C.**

Under Secretary of Commerce for Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

Account No.	500481
Date	10-31-03
Page	1

PLEASE SEND REMITTANCES TO:  
U. S. Patent and Trademark Office  
P.O. Box 70541  
Chicago, IL 60673

DATE POSTED	CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
10 1 03	371	06542113	ARI019	8013	25.00	6673.00
10 6 03	24	09985657	339603/00	1201	2.00	6671.00
10 6 03	32	10674353	A429-1	1001	20.00	6651.00
10 6 03	346	09946753	NE216-US	1504	15.00	6636.00
10 6 03	348	09946753	NE216-US	8001	15.00	6621.00
10 9 03	49	09879157	GOT060	8007	20.00	6601.00
10 9 03	80	10457006	WN-2584	1051	20.00	6581.00
10 17 03	39	09901034	N1123-U	1504	15.00	6566.00
10 17 03	41	09901034	N1123-U	8001	15.00	6551.00
10 17 03	56	10005077	48	1201	10.00	6541.00
10 17 03	178	10026467	ND.49	1201	12.00	6529.00
10 20 03	336	10474353	EBO.010 US	2614	3.00	6526.00
10 22 03	122	10617353	MA-580-US	1201	70.00	6456.00
10 23 03	29	09654501	PM 273792	1202	-36.00	6492.00
10 24 03	6	09964863	KOKUSAI 076	1201	84.00	6408.00
10 30 03	4	09969994	DP-823 US	1806	180.00	6228.00
10 31 03	3	10225153	C320147524M	1251	110.00	6118.00
10 31 03	162	09879157	GOT060	1501	15.00	6103.00
10 31 03	164	09879157	GOT060	8001	15.00	6088.00
<div style="border: 1px solid black; padding: 5px; margin: 10px 0;"> <p><i>ORI.045</i></p> <p><b>FEE CODE 1201</b></p> <p>Independent claims in excess of three</p> </div>						
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT			OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
			6698.00	646.00	36.00	6088.00

UNITED STATES  
 Attorney's Post Card Filing Receipt  
 Serial Number: 09/964,563 Papers Filed On: 9/8/03

Attorney's Docket Number: KOKOSHET 076 ☒ Patent ☐ Trademark

Applicant's Name: Tanaka, et al. Application Filing Date: 9/8/01  
 Papers Filed Herewith:

☒ Amendment ☒ Request for Extension of Time ☐ CPA Request  
☐ Notice of Appeal ☐ Appeal Brief (in triplicate) ☐ Reply Brief  
☐ IDS SEP 08 2003 ☐ 1449 Form w/ Documents ☐ Priority Document(s)  
☐ Assignment ☐ Recordation Cover Sheet ☐ Formal Drawings  
☐ Drawing Corrections ☐ Issue Fee Transmittal ☐ Missing Parts

☒ Other Express claim fee Payment letter

Fees Filed Herewith: \$ 518.- ☒ Check ☐ Charge Deposit Account: \_\_\_\_\_

#9804 Hand Delivered

Docket No. KOKUSA 16

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JUN 17 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Kazuo TANAKA, et al.

OFFICIAL

Serial No.: 09/964,863

Group Art Unit: 2814

Filed: September 28, 2001

Examiner: Wai-Sing Louie

For: TEMPERATURE CONTROLLING METHOD, THERMAL TREATING APPARATUS,  
AND METHOD OF MANUFACTURING SEMICONDUCTOR DEVICEHonorable Commissioner of Patents  
Alexandria, Virginia 22313-1450**EXCESS CLAIM FEE PAYMENT LETTER**

Sir:

Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.

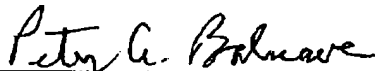
	<u>AFTER AMENDMENT</u>	<u>PREV. PAID FOR</u>	<u>EXTRA CLAIMS PRESENT</u>	<u>RATE</u>	<u>FEE DUE</u>
Total Claims	16 -	20	= 0	x \$18.00	\$ -
Indep. Claims	5 -	3	= 2	x \$84.00	\$ 168.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$ 168.00</b>

A check in the amount of \$168.00 is submitted herewith to cover the excess claim fees. A duplicate copy of this sheet is enclosed. The Commissioner is authorized to charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully Submitted,

Date:

9/8/03

Peter A. Balnave  
Reg. No. 46,199McGinn & Gibb, PLLC  
8321 Old Courthouse Road, Suite 200  
Vienna, VA 22182  
(703) 761-4100  
Customer No.: 21254

DATE		TO THE ORDER OF		GROSS		DESCRIPTION		CHECK NUMBER		CHECK AMOUNT	
9/18/03		Commissioner USPTO						9804		\$ 578.00	
<p><b>PAY AMOUNT OF</b> <i>Five Hundred Seventy Eight and 00/100</i></p> <p><b>TO THE ORDER OF</b> <i>Commissioner USPTO</i></p> <p><b>DATE</b> <i>9/18/03</i></p> <p><b>TO THE ORDER OF</b> <i>Commissioner USPTO</i></p> <p><b>GROSS</b> <i>578.00</i></p> <p><b>DESCRIPTION</b> <i>USPTO</i></p> <p><b>CHECK NUMBER</b> <i>9804</i></p> <p><b>CHECK AMOUNT</b> <i>\$ 578.00</i></p>											

**9804**

**McGINN & GIBB, PLLC**

**REGULAR ACCOUNT**

6821 OLD COURTHOUSE RD, SUITE 200

VIENNA, VA 22182

PH: (703) 781-4100

**SUNTRUST**

SunTrust Bank

⑆00009804⑆ ⑆055002207⑆ 202735532⑆

⑆00000057800⑆

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PATENT AND TRADEMARK OFFICE

13-10-0001

09-10-2003

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